PROMONTORY
FINANCIAL PLANNING

Privacy Policy Notice

Our Promise to our valued Clients. As a Client of PFP, you share both personal and financial information with us. Your privacy is important to us, and we are dedicated to safeguarding your personal and financial information.

Information Provided by Clients:

In the normal course of doing business, we typically obtain the following non-public personal information about our clients. Personal information regarding our clients' identity such as name, address and social security number; Information regarding securities transactions effected by us; and Client financial information such as net-worth, assets, income, bank account information and account balances.

Opt-In Consent:

Receive Communications: We may contact you via email, phone, or other methods to provide updates and information about your account(s) and our services.

How to Opt In: You may opt in by signing the "Advisor Agreement" agreeing and accepting the policies and procedures. To opt in to text messages reply "YES" to text message inviting you to receive texts from us. You may also contact us directly to opt in to any services.

How to Opt Out: If you choose to withdraw your consent at any time, you can opt out by following the unsubscribe instructions in our emails or replying "STOP" in our text thread. You can also contact us directly to opt out of any of our communication.

How We Manage and Protect Your Personal Information:

We do not sell information about current or former clients to third parties, nor is it our practice to disclose such information to third parties unless requested to do so by a client or client representative or, if necessary, in order to process a transaction, service an account or as permitted by law. Additionally, we may share information with outside companies that perform administrative services for us. However, our contractual arrangements with these service providers require them to treat your information as confidential. In order to protect your personal information, we maintain physical, electronic and procedural safeguards to protect your personal information. Our Privacy Policy restricts the use of client information and requires that it be held in strict confidence.

Client Notifications:

We are required by law to annually provide a notice describing our privacy policy. In addition, we will inform you promptly if there are changes to our policy. Please do not hesitate to contact us with questions about this notice.

Brock Williamson, CFP®　　Promontory Financial Planning　　P: 801-513-2545